

INDUSTRY AWARDS

2021 MMI/Barron's Industry Awards Finalists:

Digital Innovation

AllianceBernstein – AllianceBernstein Digital Coach
Bank of America Merrill Lynch – Merrill Lynch Investment Advisory Program – Digital Wealth Overview
FLX Distribution – FLX Distribution
Morningstar – ByAllAccounts with Financial Wellness Tools
Refinitiv – House Views and Market Insights (HVMI)

Doing Good

Invest in Others – Grants for Change
Lord Abbett – Lord Abbett Funds a New Home for Hudson Pride Center
Morgan Stanley – Historically Black Colleges and Universities (HBCU) Scholars Program

Social Justice

Cetera Financial Group – Caring Cetera
Nuveen – Commitment to Cultivating a Diverse and Inclusive Environment
Raymond James – Diversity and Inclusion Program

Sustainable & ESG Investing

Envestnet | PMC – Due Diligence Updates & New Impact Overlay Screens
Fidelity – ESG Pro
RBC Wealth Management – RBC Wealth Management

Distribution Excellence

Allianz Life – Allianz Advisory Channel Expansion Team
Clark Capital Management Group – A Winning Approach: Helping Advisors Attract and Retain HNW Clients
Practice Management Consultants, LLC – Black Financial Advisors Network Exclusive Coaching Programs

Thought Leadership/Education

BNY Mellon | Pershing – Driving Growth Through Multigenerational Relationships
iCapital Network – AltsEdge
Putnam Investments – Putnam Sustainable Investing

Disruption

Andes Wealth Technologies – Andes Wealth Platform
Envestnet – Envestnet Trust Services Exchange powered by Trucentent
Franklin Templeton – Goals Optimization Engine (GOE)

Wealth Manager Platform of the Year

Bank of America Merrill Lynch – Merrill Lynch Investment Advisory Program – Tax Efficient Management Overlay Services
LPL Financial – Model Wealth Portfolios (MWP)
Morgan Stanley – Expansion of Advice Delivery and Portfolio Management Capabilities

Asset Manager of the Year (AUM of less than \$10 billion)

Calvert Impact Capital – Community Investment Note and Syndication Services

Horizon Investments – Horizon Goals-Based Solutions

IDX Insights – Direct Indexing/ Crypto Currency

Asset Manager of the Year (AUM \$10-50 billion)

Clark Capital Management Group – Employee-Owned Firm Celebrates 35 Years with Double-Digit Growth

KraneShares – KraneShares Global Carbon ETF (KRBN)

Thornburg Investment Management – Thornburg Investment Management

Asset Manager of the Year (AUM of more than \$50 billion)

Ark Investments – Ark Investments

Investnet | PMC – Investnet | PMC

JP Morgan – Empowering Change

Lord Abbett – Collaboration to Help Advisors Thrive in a Remote/Hybrid Environment

Solutions Provider of the Year

Broadridge Financial Solutions – Broadridge Wealth Platform

Investnet – Investnet's Financial Wellness Ecosystem

iCapital Network – Global Alternative Investing Infrastructure