



SAMPLE - 2021-2022 APPLICATION

Please answer all questions. Both this application and a letter of reference must be fully completed and signed before review by the admissions committee.

It is the applicant's responsibility to notify Money Management Institute of any change in employment status that occurs between the time this application is submitted and the start of the program.

GENERAL INFORMATION

Name: Last, First, Middle Initial, Prefix/Suffix

Male / Female / Other / Prefer Not to Specify

Business Email:

Business Phone:

Mobile:

LinkedIn Profile:

EDUCATION & CERTIFICATIONS

Degree (check highest level attained)

- BS/BA
- MS/MA
- MBA
- JD/Law
- Other (Please specify):

College / University:

Certifications (click all that apply):

- AIF
- CFA
- CIMA

- FINRA Series 7
- FINRA Series 9&10
- FINRA Series 24
- Other (Please specify):

ORGANIZATION / COMPANY INFORMATION

Current Organization:

Current Role: (List title, level and responsibilities):

What function best describes your position? (check one only)

- | | |
|--|---|
| <input type="checkbox"/> Accounting/Control | <input type="checkbox"/> Planning & Strategy |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Portfolio Management |
| <input type="checkbox"/> Financial Advisor | <input type="checkbox"/> Product Development |
| <input type="checkbox"/> General Management | <input type="checkbox"/> Project Management |
| <input type="checkbox"/> Human Resources | <input type="checkbox"/> Research |
| <input type="checkbox"/> Information Technology & Services | <input type="checkbox"/> Sales |
| <input type="checkbox"/> Legal/Compliance and Risk | <input type="checkbox"/> Operations |
| <input type="checkbox"/> Marketing | <input type="checkbox"/> Other (please specify) |
| <input type="checkbox"/> National Accounts / Vendor Relationship | |

How many employees are under your direct supervision and what are their general roles?

What is the name and title of the person to whom you report?

Please list any advancements or promotions you have received at your current organization (Limit to three).

Other Experience:

How many years of experience do you have in the investment advisory solutions industry?

List your previous roles in the industry [max word count of 300]

MONEY MANAGEMENT INSTITUTE

Have you attended past MMI events? If so, which events?

Have you been involved in any other MMI activities or taken any MMI courses? If so, please list.

How did you learn about this program?

- Friends within the industry
- MMI email communication

- MMI website
- My Human Resources / L&D Contact
- My Manager
- Other Leaders at my firm
- Social Media
- Other (please specify):

PROGRAM EXPECTATIONS

NOTE: Limit responses to 200 words.

Please explain your objectives for attending this program. What do you hope to gain by participating in this program?

Describe what you think other program participants may learn from you (e.g., perspectives, skills, expertise). What skills and experience do you bring to the program?

How would you characterize your leadership strengths and opportunities for development? Provide examples as appropriate.

What do you anticipate your career progression to be over the next five years? How do you see this program helping you to achieve those career goals?

LETTER OF REFERENCE INFORMATION

Money Management Institute requires that a letter of reference be completed by your manager or another senior executive in your reporting line that is familiar with your character, role and responsibilities and who can provide a detailed firsthand account.

Please provide the referring executive with the letter of reference form included at the end of this application. The application review committee will begin its review only upon receipt of both the application and the letter of reference.

Name of Reference: Last, First, Middle Initial, Prefix/Suffix

Title or Position:

Business Email:

Business Phone:

ACKNOWLEDGEMENTS

If accepted into the Executive IQ program, I commit to being an active participant in all aspects of the program--in class, in learning teams, and in other program activities.

I understand and agree that the program will be covered with photography and video and my images/videos, name as well as attributed experiences may be shared on program webpages for informational and/or promotional purposes.

I certify that the information provided by me is correct to the best of my knowledge and any errors and omissions are purely incidental. Submission of this online application form confirms the candidate's agreement to all terms and conditions as outlined in this application.

A Program fee for Executive IQ -- which includes tuition, most meals, teaching materials, and certificates of participation -- is due and payable upon acceptance of the participant. Upon acceptance, payment is required prior to the program start date. Please note that any travel and lodging are separate and the responsibility of the participant.

I have read the cancellation policy and agree to the terms stated.

By applying for this program, I agree that MMI may use the information I provide to contact me with future updates and marketing communications. I can unsubscribe at any time from such communications by clicking the unsubscribe link in the footer of those emails, or by replying to them and asking to be unsubscribed.

Please confirm

I agree

(Please initial here): ____

INVOICING INFORMATION

How will your participation be funded? My Firm / Self / Other

Name: Last, First, Middle Initial, Prefix/Suffix

Title/Position

Business Phone

Business Email

We look forward to receiving your application. If you have any questions, please contact us either ExecutiveIQ@mminist.org or by calling us at 646-868-8500