

Board of Trustees Directory

June 2026



GATEWAY



Jill Brown (Chair)

Ms. Brown was recently President, Principal Fund Distributor, Inc. of Principal Financial Group. She had been with the firm since 1990 and was responsible for the long-term growth of Principal Funds, focusing on sales, assets, advisor growth, and business intelligence. She served as a financial analyst for the 401 (k) business and CFO of Principal Funds before being named President of Principal Funds Distributor, Inc. in 2010. Her responsibilities include collaborating with sales teams across multiple channels and strengthening relationships with key firms as well as internal partners across Principal Financial Group, including marketing, asset management, and operations. Ms. Brown received an MBA in business administration from Drake University and a BA in accounting and administration from Northwestern College. She holds FINRA Series 7, 24 and 27 licenses and is a Registered Representative of Principal Funds Distributor, Inc. and Principal Securities, Inc. She is an active member of her community including involvement with United Way.



Mark Aziz

S&P Dow Jones Indices

Director, Direct Indexing and Model Portfolios Strategist

Mr. Aziz is responsible for the growth and adoption of indices in Direct Indexing and Model Portfolios with asset managers and wealth managers. In this role, he supports S&P DJI's efforts to accelerate brand awareness and growth via partnered, consultative sales tactics and delivery of thought leadership. Prior to joining S&P DJI in 2023, Mr. Aziz was an index equity strategist at BlackRock where he helped deepen some of the firm's largest institutional client relationships by delivering index and platform solutions. Previously, he held several positions at Merrill Lynch, most recently within its portfolio consulting group where he served as a direct connection of their chief investment office to advisors and clients. Mr. Aziz is a CFA charterholder, holds a CIMA designation from Investments & Wealth Institute and a bachelor's degree in business administration from The College of New Jersey.



Tonia Bottoms

Pershing, a BNY Company

Managing Director and Senior Managing Counsel

Ms. Bottoms is a Managing Director and Senior Managing Counsel for Pershing, a BNY company, and serves as Pershing's Diversity & Inclusion Advocate and a member of the firm's Executive Committee. In her legal role, she specializes in retirement plans and financial products, providing guidance on the compliance, oversight, and administration of retirement and education savings programs, including traditional and Roth IRAs, SIMPLE IRAs, qualified retirement plans, and 529 college savings plans.

Ms. Bottoms works closely with senior leadership on regulatory and legislative matters affecting the retirement and fiduciary businesses and advises on all aspects of Pershing's retirement platform across both individual and institutional markets. Prior to joining Pershing in 2010, she was a Director and Senior Counsel at Bank of America Merrill Lynch.

As Diversity & Inclusion Advocate, Ms. Bottoms leads initiatives that support BNY's commitment to fostering an inclusive culture that drives engagement, performance, and business growth. She has been recognized as one of the Empower 100 Ethnic Minority Executives and serves on the Global Leadership Team for IMPACT, BNY's multicultural employee resource group, where she currently chairs the Black Leadership Forum. She is also active in several industry organizations, including the Securities Industry and Financial Markets Association's Retirement and Education Savings Committee, where she serves as co-chair.

Ms. Bottoms earned a Bachelor of Science in Finance and a Juris Doctor from the University of Maryland.



Jeff Carlin

Nuveen

Senior Managing Director, Global Head of Wealth Advisory Services

Mr. Carlin is responsible for leading the placement, distribution, and support of all products through the U.S. wealth distribution channel. He is also a member of the Nuveen business leadership team, which directs overall strategy, leads firm initiatives, and is accountable for the business results of the firm's combined product and distribution teams, working closely with Nuveen affiliates to support and execute on collective goals. Mr. Carlin has held various positions at Nuveen, including head of distribution for structured products and national sales manager for the wirehouse and private wealth channels. Prior to joining the firm, he was national sales manager for IndexIQ, a leading innovator of alternative investment ETFs. Before that, he was responsible for the managed accounts business at Charles Schwab & Co. and was the regional director in northern California for Smith Barney's Consulting Group. Mr. Carlin graduated with a BS in business administration, with an emphasis in finance and real estate, from San Diego State University. He is also a member of the Investments & Wealth Institute and was a member of its board of directors from 2008–2011. He holds the CFA designation and is a member of the CFA Institute.



Suzanne Casey

Northern Trust Asset Management

Senior Vice President, Head of Wealth Client Group

Ms. Casey serves on the Asset Management Executive Group with oversight of the NT Wealth and Intermediary Wealth client segment. She is responsible for strengthening her firm's presence in the Northern Trust and Third-Party Wealth management markets and delivering an exceptional client experience to all wealth clientele. During her more than 30 years of experience across the wealth management and investment management industries, she served as managing director, head of sales and distribution at Hilton Capital Management, LLC and held a variety of senior sales and leadership roles at such financial service firms as Vanguard and J.P. Morgan Asset Management. Earlier, she was national sales manager and co-head of the wealth solutions specialist organization at Merrill Lynch & Co. Before that, she worked at Goldman Sachs Asset Management, where she was part of their third-party distribution build-out, running the sales desk and covering major metro markets. She began her career as an internal wholesaler at Van Kampen American Capital. Ms. Casey has a BA from the University of Vermont. She holds FINRA Series 6, 7, 24, 63 and 66 licenses. She currently volunteers as an instructor for the organization Rock the Street, Wall Street which aims to empower young girls through education by strengthening their financial literacy and knowledge of the financial services industry. She is a member of the Board of Governors for MMI, a member of the Sales & Marketing Subcommittee for the Investment Company Institute and serves on the Board of Trustees for the Gateway Foundation.



Brendan Clark

Clark Capital Management Group

Chief Executive Officer

As his firm's leader in defining and executing its vision and strategy, Mr. Clark is tasked with aligning Clark Capital's mission with stakeholder needs. He oversees all the firm's business lines, including custom-tailored strategies for high-net-worth investors, '40 Act products, strategist model delivery, and strategic partnerships. Mr. Clark leads an experienced team of executives who ensure that Clark Capital maintains its consistent, firm-wide focus on delivering world-class asset management strategies and services to advisors and their clients. He has been with Clark Capital since 2001 and has held positions of increasing responsibility including executive vice president of business development and president. Mr. Clark serves on MMI's Board of Governors and the MMI Gateway Foundation's Board of Trustees. The Gateway Foundation supports a variety of programs to foster career awareness and workforce readiness for students of color from historically underrepresented communities seeking internships and entry-level employment opportunities in the financial services industry. He is also a founding member and treasurer of the board of Philadelphia Financial Scholars, a 501(c)(3) nonprofit that aims to help Philadelphia high school students and their families take on systemic challenges and develop wealth-building tools through access to financial literacy and entrepreneurship education. Mr. Clark earned a BS in economics from the University of Delaware and holds the Chartered Financial Analyst designation. He is a member of the CFA Institute and the CFA Society of Philadelphia.



Holly Framsted

Capital Group

Head of Product Group

Ms. Framsted, who is based in Los Angeles, has 21 years of industry experience and has been with Capital Group, home of American Funds, for five years. Prior to joining Capital, she was a managing director at BlackRock and held various ETF product leadership roles, including responsibility for the development and commercialization of BlackRock's Factor, Sustainable and Megatrends ETFs for U.S. investors. She also spent time as a portfolio manager for the index equity team, where she was responsible for the management of several global equity portfolios for iShares, retail and institutional accounts. Ms. Framsted earned a BA in psychology from the University of California, Los Angeles. She also holds the Chartered Financial Analyst designation.



Christie-Belle Garcia

Morgan Stanley

Executive Director, Talent & Diversity Advisor, ISG

Ms. Garcia is a Talent & Diversity Advisor at Morgan Stanley, where she partners with senior leaders to advance talent strategies that strengthen leadership pipelines, foster inclusive cultures, and support long-term organizational performance.

Prior to her current role, Ms. Garcia served as an Executive Director for Diversity & Inclusion within Morgan Stanley Wealth Management, leading strategic initiatives across multiple business segments to integrate diversity, equity, and inclusion into talent practices and business outcomes.

Before joining Morgan Stanley, Ms. Garcia spent 15 years in higher education, where she led initiatives focused on diversity, student success, and STEM pipeline development. Her work centered on expanding access to educational opportunities and advancing outcomes for historically underrepresented populations.

Ms. Garcia earned a PhD in Contemporary Learning and Interdisciplinary Research from Fordham University. Her research examined the experiences of underrepresented students in STEM fields and the factors influencing their academic and professional success. She is actively involved in community service and resides in Connecticut with her family.



Karen Heath-Wade

Ms. Heath-Wade is a global financial services executive and board director with more than 25 years of experience leading client strategy, distribution, and organizational transformation across asset management, fintech, and philanthropic advisory organizations. She currently serves on the Board of Directors of Polen Capital, where she is a member of the Human Capital Committee. In addition, Ms. Heath-Wade serves as Head of the Firm Alliance Group at Ren / American Endowment Foundation, where she leads enterprise growth and strategic relationships across wirehouses, banks, RIAs, and custodians.

Prior to her current role, Ms. Heath-Wade held senior leadership positions at American Century Investments, Allianz Global Investors, and Nationwide Financial Services, where she led large-scale client strategy and distribution initiatives that drove significant business growth and organizational transformation. Throughout her career, Ms. Heath-Wade has been recognized for building high-performing teams, strengthening client engagement, and aligning business strategy with talent development. She also serves on the Investments & Wealth Foundation Board and is a trustee of the MMI Gateway Foundation, reflecting her commitment to advancing professional development and industry talent. Ms. Heath-Wade holds an MBA in Finance from Loyola University Chicago and a BA in Political Science from Mount Holyoke College.



Marques Highland

Mr. Highland most recently served on Diamond Hill's client team, where he was responsible for institutional business development across Ohio, the Mid-Atlantic, New England, and Canada until the firm was acquired by First Eagle Investments in a transaction that closed in April 2026.

Prior to joining Diamond Hill in 2015, Mr. Highland spent the first decade of his career in the Investment Management Division of Goldman Sachs & Co., most recently serving as Lead Investment Officer for the Goldman Sachs Trust Company and the Goldman Sachs Philanthropy Fund. He also served as a Firmwide Diversity Champion and led undergraduate recruiting efforts for the Investment Management Division at Princeton University.

Mr. Highland currently serves as Chair-Elect of the National Association of Securities Professionals (NASP) Board of Directors. NASP is a nonprofit organization dedicated to advancing career development, business opportunities, and industry engagement for diverse professionals and firms across the financial services industry. In 2022, he received the NASP Young Professionals Award in recognition of his efforts to promote inclusion within the industry.

He also serves as an Independent Trustee of the Money Management Institute's Gateway Foundation, a nonprofit organization focused on expanding opportunities and increasing participation for underrepresented communities in financial services. In addition, Mr. Highland is a member of The Ohio Chamber of Commerce Research Foundation's Economic Advisor Council and serves as a Strategic Advisor to Investment Office Resources, LLC, which provides investment organizations with flexible resourcing and specialized expertise.

Mr. Highland earned a Bachelor of Arts in Politics and a Certificate in Political Economy from Princeton University.



Raymone Jackson

T. Rowe Price

Head of Community Affairs and President, T. Rowe Price Foundation

Mr. Jackson champions and guides his firm's community investments, inclusion, corporate communications and sustainability strategies that advance firm priorities, associate engagement, and business outcomes. He has been with T. Rowe Price since 2020, beginning in human resources. Since joining the firm, he has spearheaded the strategic alignment of the firm's inclusion strategies, corporate responsibility, philanthropy, and corporate communications efforts. Prior to that, he was employed by Morgan Stanley as the national diversity officer. Earlier, he had a 20-year career with Northwestern Mutual, where he held leadership roles in technology, corporate strategy, field distribution, and human resources, leading enterprise diversity and inclusion and campus development. Mr. Jackson has been recognized for his passion, leadership, and commitment to global impact by receiving the Patriot Award from the Department of Defense and being named a Milwaukee Times Black Excellence Award nominee. Mr. Jackson is a Baltimore Business Journal recognized Leader in Diversity and was also recognized as a Black Enterprise Magazine's "Top Black Executive in Corporate Diversity." He is a trustee of MMI's Gateway Foundation Board. He also serves on the boards of directors of the Kennedy Krieger Institute, The Downtown Partnership of Baltimore, Vehicles for Change, and the Center Club's Board of Governors.

Mr. Jackson earned a BA at the University of Wisconsin – Milwaukee and holds an MBA. He also earned a certification in commercial real estate via the Associates in Commercial Real Estate Program at Marquette University. Mr. Jackson also completed the Global Leadership Executive Program at Yale University. He has earned the Chartered Life Underwriter designation from The American College and the ACS and FLMI designations from Life Office Management Association. Mr. Jackson also is a Certified Master Coach.

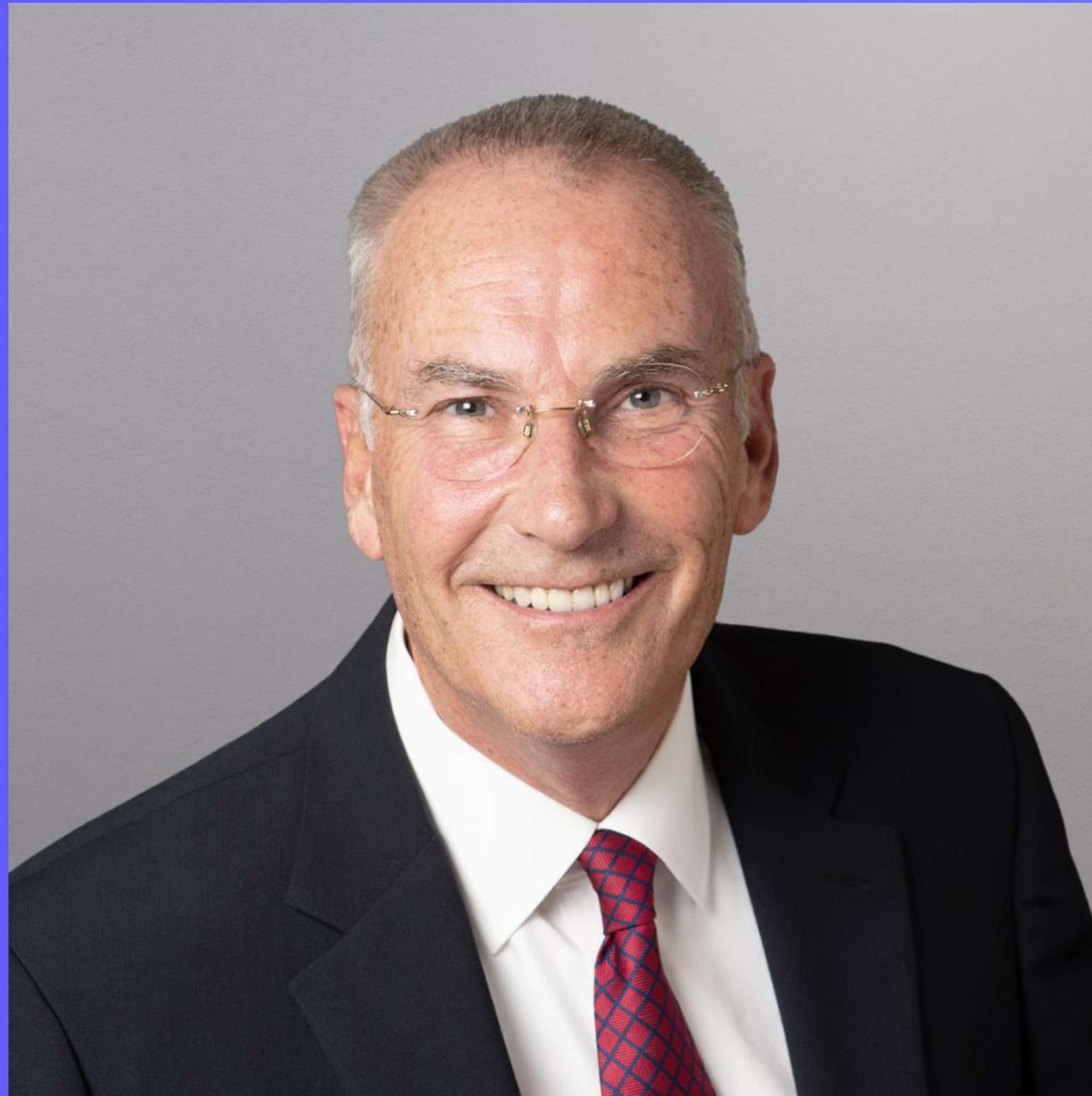


Danielle Learned

Horizon

Chief Marketing Officer

In this role, Ms. Learned leads the firm's marketing strategy, brand development, and communication efforts to drive awareness, strengthen client relationships, and support business growth. She has also held other leadership positions at Horizon, where she supported the execution of the firm's strategic initiatives and translated its mission and vision into successful deliverables. Before joining Horizon in 2018, Ms. Learned was an assistant vice president of advisory product management with LPL Financial, overseeing the firm's premier mutual fund and exchange traded funds strategist platform. Her deep industry roots date to 2011 with Oppenheimer & Co. Inc., Prudential Annuities, and MetLife Securities, Inc. Ms. Learned focusing on an employee-centric leadership approach, Danielle emphasizes cultivating a supportive, inclusive workplace culture and prioritizing the well-being and growth of her team members. Outside of work, she is a passionate champion of women's economic empowerment, actively supporting local women-led businesses and non-profit organizations. Ms. Learned holds a BA of business administration in management from Western Connecticut State University and an MBA from the University of North Carolina Chapel Hill Kenan-Flagler Business School. She holds FINRA Series 6, 7, 63, and 65 licenses.



Craig Pfeiffer

Money Management Institute

President and Chief Executive Officer

Mr. Pfeiffer, a proven senior financial services executive with 40 years of industry experience, became president and chief executive officer of the Money Management Institute in 2015. MMI is the leading voice for the global financial services organizations that provide advice and professionally-managed investment solutions to individual and institutional investors. Additionally, Mr. Pfeiffer is engaged in leadership roles across the financial services landscape. He is active as: co-founder and chair of the advisory board for RiskBridge Advisors, an OCIO asset manager focused in the non-profit, endowments and foundations space; a board director and lead director for Westfield Group's Ohio Farmers Insurance Company; and an operating partner at the private equity firm Corsair Capital. Following 29 years with Morgan Stanley Smith Barney and its predecessor firms, Mr. Pfeiffer transitioned from MSSB in 2012, where he was vice chairman and a member of the firm's executive committee. From 2003 to 2011, Mr. Pfeiffer held a progression of senior positions including the national sales group with responsibility for marketing strategy and client communications, desktop technology, and brokerage-based banking. Additionally, he was responsible for businesses including retirement services and corporate equity solutions. From 1982 to 2003, Mr. Pfeiffer advanced through field management roles, starting as a financial advisor, and transitioning into field management at all levels. He is an alumnus of Indiana University Kelley School of Business.



Cristina Santos

Capital Group

Senior Vice President, Head of Talent & Culture

Ms. Santos, based in New York, is responsible for Capital's approach to talent acquisition, management and development, and the overall associate experience. She leads firm efforts to embed core values while investing in teams' and associate growth so all can thrive to deliver on the firm's mission. She has 25 years of experience and has been with Capital Group for five years. Prior to joining Capital, she led the North America Inclusion & Diversity and Total Well-Being efforts for pharmaceutical manufacturer Sanofi. Before that, she worked in the healthcare industry in various business development roles at Novartis, Novo Nordisk, and Merck. Ms. Santos holds a BA in international business from Florida International University and a human resources professional certification from Cornell's School of Industrial Labor Relations.



Kyle Thompson

Voya Investment Management

Senior Vice President, Strategic Relationships Manager

Mr. Thompson is a Senior Vice President and Strategic Relationships Manager at Voya Investment Management, where he leads strategic relationships across the firm's wirehouse distribution channels. In this role, he partners with key intermediary clients to support business growth and deliver investment solutions that meet the evolving needs of advisors and investors.

Prior to joining Voya, Mr. Thompson held multiple positions with Scottrade Financial Services, where he provided guidance and support to both individual and institutional clients.

Mr. Thompson earned an MBA in Finance from Quinnipiac University and a BA in Economics from the University of Connecticut. He also holds FINRA Series 7, 63, and 65 licenses.



Priya Udeshi Crick

BNY

Senior Director, Senior Managing Counsel

Ms. Udeshi Crick advises on broker-dealer, investment advisory, banking, and cross-border matters. Previously, she was corporate vice president and associate general counsel at New York Life, advising its investment adviser, broker-dealer, and APAC businesses. She also served as legal officer for the New York Life Foundation. Formerly, she was an executive director at Morgan Stanley, where she advised on investment advisory programs with over \$350 billion in assets under management. She began her legal career as a corporate associate at Davis Polk & Wardwell, where she counseled on domestic and international securities offerings for Fortune 500 companies. Ms. Udeshi Crick is a Trustee of the MMI Leadership Gateway Foundation and serves on the board of After-School All-Stars New York. She has been a frequent panelist on legal matters for MMI and the Practicing Law Institute. She was also a recipient of the MMI Pathways Program Fellowship in recognition of her leadership in the wealth management industry. Ms. Udeshi Crick was recognized as a 2024 Top AANHPI Leader by the National Diversity Council for her contributions to inclusion and community involvement. She graduated from the University of Cambridge and was a fellow of the Cambridge Commonwealth Trust and the Thomas J. Watson Fellowship program.



Christopher Waters

IQ-EQ

Director, International Funds

Mr. Waters is Director, International Funds at IQ-EQ, where he helps U.S.-based private markets managers expand into European and broader EMEA markets. He works closely with private equity, private credit, real estate, infrastructure, and other alternative investment managers to develop tailored international fund solutions and navigate the complexities of cross-border fund structuring, distribution, and market access.

Prior to joining IQ-EQ, Mr. Waters served as Director and Head of Asset Manager Relations at Adhesion Wealth, where he played a key role in advancing integrated managed account solutions and supporting the firm's platform growth and product development. Earlier, as Global Head of Fund Manager Relations at Aliier Global, he led initiatives focused on UCITS funds, institutional separately managed accounts, and the X-Note platform, helping asset managers expand into new global markets.

Earlier in his career, Mr. Waters served as Vice President and Director of SMA and Sub-Advisory Relations at Investnet, where he managed relationships with more than 400 investment firms and advised on product strategy and portfolio launches. He also held roles at Bear Stearns, where he developed expertise in the operational and compliance requirements associated with registered hedge fund vehicles.

Mr. Waters has more than two decades of experience in investment management, specializing in international fund distribution, strategic partnerships, product innovation, and relationship management.



Lauren Ziadie

Culture Colab Consulting

Founder & Principal Consultant

Ms. Ziadie is a seasoned talent and organizational development consultant with over a decade of experience helping organizations strengthen leadership, elevate team performance, and build high-impact workplace cultures. As the founder of Culture Colab Consulting, she partners with companies to develop people-centered strategies that drive engagement, collaboration, leadership effectiveness, and organizational growth. Prior to launching Culture Colab, she spent 13 years at Morgan Stanley, where she held leadership roles across talent management, employee engagement, leadership development, and organizational strategy. Throughout her tenure, she partnered closely with business leaders to design and implement initiatives that strengthened leadership capability, improved retention, enhanced team dynamics, and supported long-term organizational performance. Known for her strategic and human-centered approach, Lauren specializes in helping leaders and teams navigate growth, change, communication challenges, and evolving workplace dynamics. She brings deep expertise in leadership development, executive coaching, team effectiveness, organizational development, and talent strategy, with a focus on aligning people practices with business objectives. She is a trusted advisor to executives and leadership teams, helping them build stronger, more connected organizations through intentional leadership, collaboration, and culture. Her work spans executive coaching, leadership facilitation, team development workshops, and strategic consulting engagements across a variety of industries. A dynamic speaker and facilitator, Ms. Ziadie frequently leads workshops and keynote sessions on leadership, communication, team effectiveness, and organizational culture. She holds a BA in psychology and an MS in organization development, grounding her work in both human behavior and organizational change.