

2024 MMI/Barron's Industry Awards

Guide to Preparing and Submitting your Nomination

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2024 Award Categories



Open Award Categories

- Disruption
- Distribution Excellence
- Doing Good
- Thought Leadership/Education
- Values-Based Investing

Wealth Manager Categories

- Digital Innovation – Wealth Manager
- Wealth Manager Platform of the Year

Asset Managers Awards Categories

- Asset Manager of the Year (Retail Advisory AUM < \$25 billion)
- Asset Manager of the Year (Retail Advisory AUM Between \$25 - \$100 billion)
- Asset Manager of the Year (Retail Advisory AUM > \$100 billion)
- Digital Innovation – Asset Manager

Technology/Solutions Provider Awards Categories

- Digital Innovation – Technology/Solutions Provider
- Technology/Solutions Provider of the Year

Preparing your Nomination

Be prepared to submit the following information

ALL CATEGORIES:

Your Information

- Name
- Title
- Firm
- Email
- Phone

Are you nominating your own firm? (Yes/No)

Nominee Information – Who MMI may contact

- Name
- Title
- Firm
- Email
- Phone

Preparing your Nomination

Be prepared to submit the following information

OPEN AWARD CATEGORY – DISRUPTION

This category honors a groundbreaking new product, service or process that will fundamentally alter the future delivery of advisory solutions. Nominations should reflect groundbreaking innovations launched (or significantly enhanced) since June 2023 that are disrupting the status quo and redefining how investment advice and solutions are delivered. The Committee will look for innovative, needle-moving products, services, or processes that challenge conventional thinking and propel the advisory solutions industry forward in new and different ways.

Nominee Information

- Firm Nominated
- Name of disruptive product, service or process nominated

Category Questions

1. Briefly describe the purpose, function, and features of the product, service, or process nominated and why/how it is disrupting the investment advisory status quo (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

OPEN AWARD CATEGORY – DISTRIBUTION EXCELLENCE

This category honors firms that provide exemplary and innovative advisor sales support both in the field and from the home office. Nominations should reflect programs and activities launched (or significantly enhanced) since June 2023 that increase the impact and effectiveness of the field sales professional's interactions and relationships with financial advisors. The Committee will look for innovative, needle-moving programs and activities that elevate the wholesaler-financial advisor relationship and support a more consultative sales approach.

Nominee Information

- Firm Nominated
- Name of distribution program or activity nominated

Category Questions

1. Briefly describe the target audience, purpose, and components of the program or activity nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

OPEN AWARD CATEGORY – DOING GOOD

This category honors firm-wide initiatives that actively contribute to the betterment of our communities and address specific needs within them. These efforts should demonstrate a commitment to making a positive difference in the lives of those they serve. Nominations should highlight community service projects, philanthropic endeavors, or awareness campaigns that have been initiated or significantly strengthened since June 2023. The committee will look for innovative and impactful initiatives that bring measurable, tangible benefits to the target audience or cause.

Nominee Information

- Firm Nominated
- Name of firm-wide initiative

Category Questions

1. Briefly describe the target audience, purpose, and components of the firm-wide initiative nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

OPEN AWARD CATEGORY - THOUGHT LEADERSHIP/EDUCATION

This category honors new programs, research, educational campaigns, or content that meaningfully advance industry knowledge and understanding of topics key to the future of advisory solutions. Nominations should reflect original programs, campaigns, or content launched (or significantly enhanced) since June 2023 that drive the industry forward by increasing industry or investor awareness and understanding of key investment advisory and/or wealth management topics. The Committee will look for innovative, needle-moving programs and content that help elevate industry and investor knowledge and have proven effectiveness with metrics showing strong engagement, influence, and impact.

Nominee Information

- Firm Nominated
- Name of program, research, educational campaign or content nominated

Category Questions

1. Briefly describe the target audience, purpose, and components of the program or activity nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

OPEN AWARD CATEGORY – VALUES-BASED INVESTING

This category honors programs that support awareness and adoption of Values-Based Investing. Nominations should reflect awareness and education programs, tools, and product solutions launched (or significantly enhanced) since June 2023 to help financial advisors and investors adopt and employ the principles of Values-Based Investing. The Committee will look for innovative, needle-moving programs, tools, and product solutions that measurably advance Values-Based Investing and promote their adoption by financial advisors and investors.

Nominee Information

- Firm Nominated
- Name of the Values-Based program, tool, or product solution

Category Questions

1. Briefly describe the format, features, and objectives of the program, tool, or product solution nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

WEALTH MANAGER CATEGORY – DIGITAL INNOVATION

This category honors a new technological innovation (or specific enhancement to an existing platform or tool) by a wealth management firm that enhances the investor or advisor experience with advisory solutions. Nominations should reflect digital technology solutions launched (or significantly enhanced) since June 2023 that improve the user experience, streamline business processes, increase advisor productivity/efficiency, or improve investor outcomes. The Committee will look for innovative, needle-moving technology solutions that measurably advance the investment advisory solutions industry and deliver improved outcomes for financial advisors and/or investors.

Nominee Information

- Firm Nominated
- Name of platform/application/enhancement nominated

Category Questions

1. Briefly describe the functionality and features of the digital platform/application/enhancement nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

WEALTH MANAGER CATEGORY – WEALTH MANAGER PLATFORM OF THE YEAR

This category honors a wealth manager platform that exemplifies innovation in delivering better outcomes for investors and financial advisors. Nominations should reflect platform features and capabilities launched (or significantly enhanced) since June 2023. The Committee will look for needle-moving platform enhancements that contribute to innovation within the investment advisory solutions industry and improve the investor and financial advisor experience.

Nominee Information

- Firm Nominated
- Name of advisory platform or program nominated

Category Questions

1. Briefly describe the features and enhancements of the advisory platform for which the wealth manager firm is being nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

ASSET MANAGER CATEGORY – ASSET MANAGER OF THE YEAR (RETAIL ADVISORY AUM < \$25 BILLION)

This category honors a smaller asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors. Nominations should reflect product solutions, features, and capabilities launched (or significantly enhanced) since June 2023. The Committee will look for needle-moving product solutions, thought leadership, and partner programs that contribute to innovation within the investment advisory solutions industry and improve the investor and financial advisor experience

Nominee Information

- Firm Nominated
- Name of product solution or programs nominated

Category Questions

- 1.** Briefly describe the product solution or other programs for which the asset manager is being nominated (150 words or less)
- 2.** Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
- 3.** Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

ASSET MANAGER CATEGORY – ASSET MANAGER OF THE YEAR (RETAIL ADVISORY AUM BETWEEN \$25- \$100 BILLION)

This category honors a mid-size asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors. Nominations should reflect product solutions, features, and capabilities launched (or significantly enhanced) since June 2023. The Committee will look for needle-moving product solutions, thought leadership, and partner programs that contribute to innovation within the investment advisory solutions industry and improve the investor and financial advisor experience.

Nominee Information

- Firm Nominated
- Name of product solution or programs nominated

Category Questions

- 1.** Briefly describe the product solution or other programs for which the asset manager is being nominated (150 words or less)
- 2.** Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
- 3.** Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

ASSET MANAGER CATEGORY – ASSET MANAGER OF THE YEAR (RETAIL ADVISORY AUM > \$100 BILLION)

This category honors a larger asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors. Nominations should reflect product solutions, features, and capabilities launched (or significantly enhanced) since June 2023. The Committee will look for needle-moving product solutions, thought leadership, and partner programs that contribute to innovation within the investment advisory solutions industry and improve the investor and financial advisor experience.

Nominee Information

- Firm Nominated
- Name of product solution or programs nominated

Category Questions

- 1.** Briefly describe the product solution or other programs for which the asset manager is being nominated (150 words or less)
- 2.** Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
- 3.** Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

ASSET MANAGER CATEGORY – DIGITAL INNOVATION

This category honors a new technological innovation (or specific enhancement to an existing platform or tool) by an asset management firm that enhances the investor or advisor experience with advisory solutions. Nominations should reflect digital technology solutions launched (or significantly enhanced) since June 2023 that improve the user experience, streamline business processes, increase advisor productivity/efficiency, or improve investor outcomes. The Committee will look for innovative, needle-moving technology solutions that measurably advance the investment advisory solutions industry and deliver improved outcomes for financial advisors and/or investors.

Nominee Information

- Firm Nominated
- Name of platform/application/enhancement nominated

Category Questions

1. Briefly describe the functionality and features of the digital platform/application/enhancement nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

TECHNOLOGY/SOLUTIONS PROVIDER CATEGORY – DIGITAL INNOVATION

This category honors a new technological innovation (or specific enhancement to an existing platform or tool) by a solutions provider firm that enhances the investor or advisor experience with advisory solutions. Nominations should reflect digital technology solutions launched (or significantly enhanced) since June 2023 that improve the user experience, streamline business processes, increase advisor productivity/efficiency, or improve investor outcomes. The Committee will look for innovative, needle-moving technology solutions that measurably advance the investment advisory solutions industry and deliver improved outcomes for financial advisors and/or investors.

Nominee Information

- Firm Nominated
- Name of platform/application/enhancement nominated

Category Questions

1. Briefly describe the functionality and features of the digital platform/application/enhancement nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Preparing your Nomination

Be prepared to submit the following information

TECHNOLOGY/SOLUTIONS PROVIDER CATEGORY – SOLUTIONS PROVIDER OF THE YEAR

This category honors a solutions provider firm (technology/service provider) that exemplifies innovation in delivering better outcomes for investors and financial advisors. Nominations should reflect advisory technology solutions and advisory-related services launched (or significantly enhanced) since June 2023. The Committee will look for needle-moving advisory-related technology and services that contribute to innovation within the investment advisory solutions industry and improve the investor and financial advisor experience.

Nominee Information

- Firm Nominated
- Name technology solution or advisory-related service nominated

Category Questions

1. Briefly describe the technology solution or advisory-related service for which the solutions provider is being nominated (150 words or less)
2. Detail the specific achievements, innovations, and results that qualify the nominee for this award (250 words or less)
3. Describe why the nominee is deserving of this award as compared to industry peers (250 words or less)

Submitting your Nomination

2024 MMI/Barron's Industry Awards

DESCRIPTION

THE ANNUAL MMI/BARRON'S INDUSTRY AWARDS RECOGNIZE INNOVATION AND LEADERSHIP IN THE INVESTMENT ADVISORY SOLUTIONS INDUSTRY. NOW IN THEIR 16TH YEAR, THE AWARDS CELEBRATE OUTSTANDING ACHIEVEMENT AND HONOR FIRMS THAT HAVE RAISED THE BAR BY DELIVERING SUPERIOR SOLUTIONS FOR FINANCIAL ADVISORS AND INVESTORS OVER THE PAST YEAR.

RULES

Nomination Guidelines and Submission Process

- There are 13 award categories this year.
- 5 of the categories are open for nominations on behalf of any type of firm. 8 of the categories are restricted to certain types of firms—e.g., Wealth Managers (Sponsor Firms), Asset Managers, or Solutions Providers. Please observe the category guidelines when submitting nominations.
- MMI and the Industry Awards Steering Council reserve the right to place nominations in the proper category.
- There is a unique set of questions for each award category.
- Multiple nominations received from the same firm in any award category will be disallowed – so it is important that a firm coordinate and submit one official self-nomination in any category.
- A public relations or marketing firm may submit a nomination on behalf of a client firm.
- Firms are not eligible to win the same award they won last year. [Here is a list of the winners for 2023.](#)
- A firm may only win in one "open" category and one "Of The Year" category. No firm will be eligible to win more than two awards, or two "Open" awards. Firms are eligible to be a finalist in multiple categories
- All nominations must be submitted through the digital platform by 5 PM ET on Friday, June 7th



CREATE AN ACCOUNT

LOGIN

Step 1

- Review the nomination guidelines and submission process
- Create an account

2024 MMI/Barron's Industry Awards – [DIGITAL PLATFORM LINK](#)

Submitting your Nomination

Have an existing account?

Login to MMI/Barron's Industry Awards below.

[LOGIN](#)

Email

Password

[LOGIN](#)

[Forgot password?](#)

By signing in you are agreeing to our [Terms & Conditions](#) and our [Privacy Policy](#)

Don't have an account?

If you do not have an account you can create one here.

[Create an Account](#)

Step 2

- Create a new account or Log back in

Submitting your Nomination

SAVE & LOGOUT

What award are you nominating for? *

Please Select ▼

Are you nominating your own firm? *

☐ Yes ☐ No

YOUR INFORMATION

First *

Sam

Last *

Greenstein

Title *

Firm *

Email *

awards@mminst.org

Phone *

☒ US/Canada ☐ Outside US

ext

US: 402-555-1212



Step 3 & 4

- Select the award you are submitting a nomination for
 - You may only submit one at a time
 - The set of questions will be customized to each award
- Select if you are nominating your own firm



Step 5

- Complete the section with your information
 - This person will be contacted if we have any questions

2024 MMI/Barron's Industry Awards – [DIGITAL PLATFORM LINK](#)

Submitting your Nomination

NOMINEE INFORMATION

Firm *

Name of product solution or programs nominated: *

Firm Contact *

First

Last

Firm Contact Email *

Firm Contact Phone *

☒ US/Canada ☐ Outside US

US: 402-555-1212

NEXT >>

Step 6

- Complete the Nominee Information Section
 - Specify the firm nominated and additional details related to the category. It should be written as it would appear on the award
 - Add in connect information for the nominee. If the firm is a finalist, this person will be contacted

Submitting your Nomination

SAVE & LOGOUT

Briefly describe the product solution or other programs for which the asset manager is being nominated *

Detail the specific achievements, innovations, and results that qualify the nominee for this award *

Describe why the nominee is deserving of this award as compared to industry peers *

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SUBMIT

Step 7

- Complete the three questions for the nomination
 - Each Award has its own unique set of questions
 - Save your work along the way using the “Save and Logout” feature
 - Submit your nomination

2024 MMI/Barron's Industry Awards – [DIGITAL PLATFORM LINK](#)

Questions?

Email awards@mminst.org or call us at [646.868.8500](tel:646.868.8500).