



BARRON'S

Industry Awards

2022 FINALISTS ANNOUNCED

BY CATEGORY:

Digital Innovation (Asset/Wealth Manager Firms): *Honoring a new technological innovation (or specific enhancement to an existing platform or tool) that enhances the investor or advisor experience with investment advisory solutions*

Bank of America Merrill Lynch - Mobile Advisor Experience (MAX)
Northwestern Mutual - PX

Digital Innovation (Technology/Service Provider Firms): *Honoring a new technological innovation (or specific enhancement to an existing platform or tool) that enhances the investor or advisor experience with investment advisory solutions*

Advyzon - Advyzon's Comprehensive Technology Platform and Quantum® Rebalancer
Andes Wealth Technologies - Andes Wealth Platform for Personalized Client Experience
Charles River Development - Tailored Portfolio Solutions
Vestwell - Vestwell's Managed Accounts

"Doing Good": *Honoring programs that support a deserving cause or give back to the communities we serve*

The Financial Alliance for Racial Equity (FARE) - RECRUIT Initiatives
Raymond James - Raymond James Cares Month 2022
UBS - Ukraine Relief Fund through the UBS Optimus Foundation

Inclusive Programs: *Honoring exemplary commitment and progress in embracing and advocating diversity, equity, and inclusion efforts in the investment advisory solutions industry*

BNY Mellon | Pershing - Growth and Empowerment for Minority Businesses (GEM) Program and Supplier Diversity Initiatives
Morgan Stanley Wealth Management - The Equity Collective
Nationwide Retirement Institute - Diverse Markets Program

Sustainable & ESG Investing: *Honoring programs that support awareness and adoption of Sustainable and ESG Investing*

Bank of America Merrill Lynch Wealth Management & Private Bank - Bank of America Wealth Management Sustainable & Impact Investing Initiative
Northern Trust Asset Management - Northern Trust Asset Management Sustainable Investing

Distribution Excellence: *Honoring firms that exemplify excellence and innovation in advisor sales support both in the field and from the home office*

Cetera Financial Group - Growth360
Clark Capital Management Group - Brushing Off Bear Markets: Continued Growth Despite Volatility
J.P. Morgan Asset Management - Active ETFs
UBS - Delivering Exceptional Results in an Environment of Constraint

Thought Leadership/Education: *Honoring new programs, research, educational campaigns, or content that meaningfully advance industry knowledge and understanding of topics key to the future of advisory solutions*

BlackRock - Alternatives: Think Beyond 60/40
Impact Communications, Inc. - NAPFA's Marketing Playbook Trilogy powered by Impact Communications
Morgan Stanley Wealth Management - Direct Indexing: Opportunities for Customization and Potential Tax Alpha

Disruption: *Honoring a groundbreaking new product, technology or process that will fundamentally alter the future delivery of advisory solutions*

Andes Wealth Technologies - Andes Wealth Platform, a New Way to Deliver Wealth Management
ARTBnk - ARTBnk
Investnet - Investnet - Intelligent Financial Life™
Vint - Vint

Wealth Manager Platform of the Year: *Honoring a wealth management firm whose investment advisory platform exemplifies innovation in delivering better outcomes for investors and financial advisors*

Bank of America Merrill Lynch - Merrill Lynch Investment Advisory Program
LPL Financial - Model Wealth Portfolios
Morgan Stanley Wealth Management - Morgan Stanley Wealth Management - Select UMA Expansion

Asset Manager of the Year (AUM of less than \$25 billion): *Honoring a smaller asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Ariel Investments - Ariel Investments
Bluerock Fund Advisor, LLC - Bluerock Total Income+ Real Estate Fund
Domini Impact Investments, LLC - Domini Funds
Horizon Investments - Expanding the GAIN, PROTECT and SPEND® Product Suite

Asset Manager of the Year (AUM of \$25-100 billion): *Honoring a mid-size asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Clark Capital Management Group - Employee-Owned Firm Stays the Course
Investnet | PMC - Investnet | PMC
Russell Investments - Tax-Managed Solutions

Asset Manager of the Year (AUM of more than \$100 billion): *Honoring a larger asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Blackstone - Private Wealth Solutions

Franklin Templeton - Franklin Templeton

John Hancock - John Hancock

Solutions Provider of the Year: *Honoring a solutions provider firm (technology/service provider) that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Broadridge Financial Solutions - Broadridge Wealth Platform

iCapital - Global Alternative Investing Infrastructure

SMartX Advisory Solutions - SMartX Advisory Solutions, OMS