

# **The Lifecycle of an Asset Management Firm**

## The Lifecycle of an Asset Management Firm

▶ Moderator: Russell M. Parker, CIMA, President, *rpmAUM*®



▶ Panelists:

▶ Joe Halpern, Founder and CEO, Exceed Investments, LLC



▶ Stan Moss, CEO, Polen Capital



▶ Jeffrey Carlin, Managing Director, NSM, Nuveen Investments

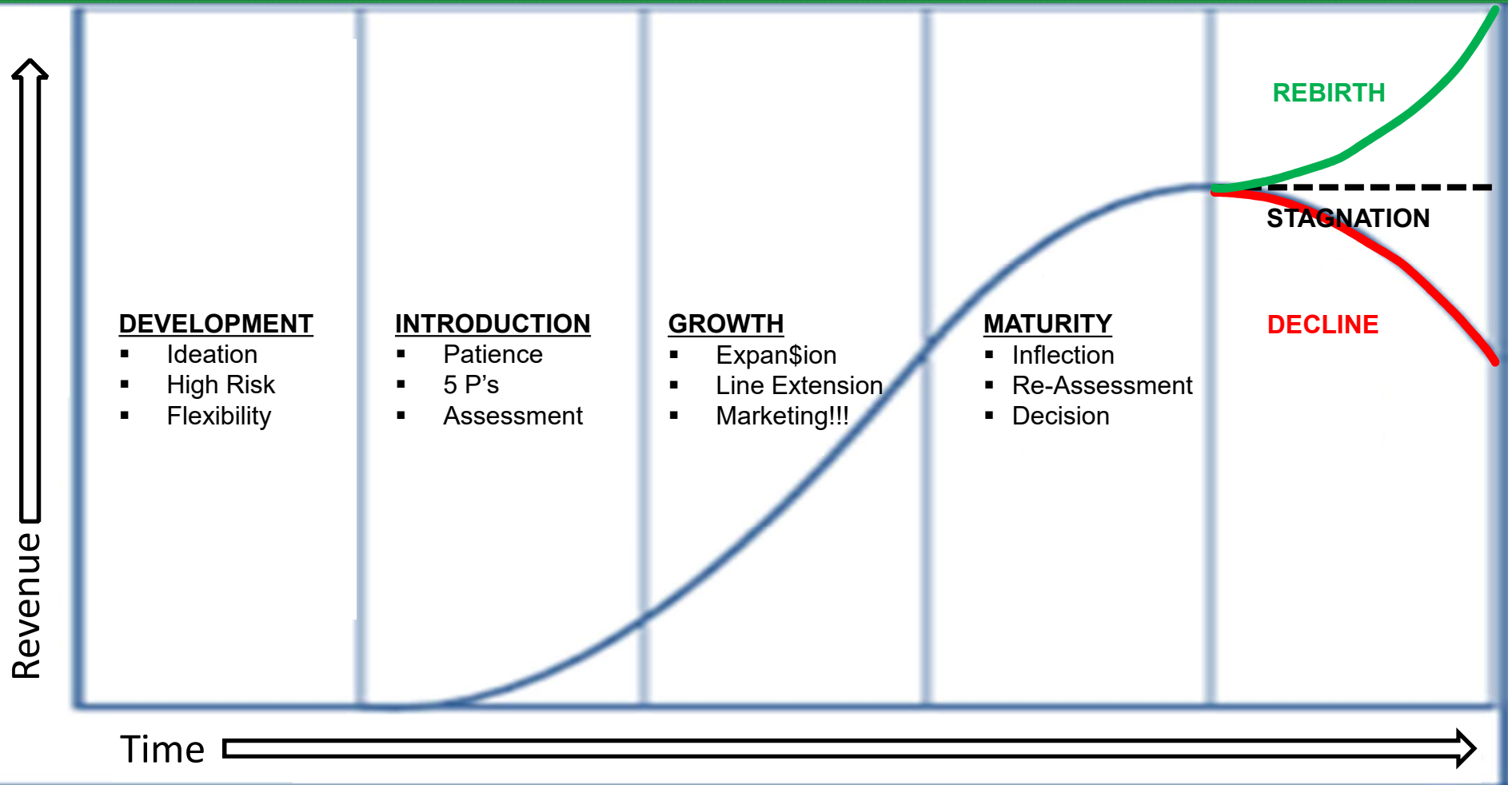


## The Lifecycle of an Asset Management Firm

- ▶ Introduction Stage: Joe Halpern, Founder and CEO, Exceed Investments, LLC
- ▶ Growth Stage: Stan Moss, CEO, Polen Capital
- ▶ Maturity Stage: Jeffrey Carlin, Managing Director, NSM, Nuveen Investments



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**As an Emerging Manager,  
where are you in the  
Lifecycle?**