

Field ID	Field Entry	Field Description	Account Type	Fee Adjustment	Billing Method	Calculation Method	Funding	Termination Instruction	Termination Reason	Notification	Reason Type	Rejection	Restriction	Bonding Agencies Table	Securities in Violation	Tax Trading	Tax Trade Objective	Tax Trade Proceeds	Voluntary Corp Action	Voluntary Corp Action Type	Security Identifier Type	
T1	AA	Asset Allocation																				
T2	AC	Adjustment Credits (Full fee accounts)		X							X											
T3	ACA	American Capital Access												X								
T4	AD	Adjustment Debits (Full fee accounts)		X																		
T5	AGE	More than 1 day old									X											
T6	AJ	Adjustments (Populate Adjustment Type Code field)		X																		
T7	AMBAC	Indemnity Corporation												X								
T8	AN	Annual Fee (Existing accounts)		X																		
T9	ANC	Account number changes									X											
T10	ANP	Allocations Not Posted									X											
T11	AT	Termination								X												
T12	ATIM	Account Terminated IM										X										
T13	ATT	Authorization To Trade								X												
T14	BAR1	Short Term, MIG 4, SP 4, SP 4											X									
T15	BAR10	Upper Medium, A, A, A											X									
T16	BAR11	High, A1, AA-, AA-											X									
T17	BAR12	Very High, As AA+, AA+											X									
T18	BAR13	Highest, Aaa, AAA, AAA											X									
T19	BAR2	Short Term, Adequate, MIG3, SP 3, SP 3											X									
T20	BAR3	Short Term, Favorable, MIG2, SP 2, SP 2											X									
T21	BAR4	Short Term, High, SP 1, SP 1											X									
T22	BAR5	Short Term, Best, MIG1, SP 1+, SP 1+											X									
T23	BAR6	Bar1, B, B											X									
T24	BAR7	Very Risky, Ba, BB, BB											X									
T25	BAR8	Lower Medium, Baa, BBB-, BBB-											X									
T26	BAR9	Medium, Baa1, BBB-, BBB-											X									
T27	BC	FC/Team Change																				
T28	BF	Billing Fees		X						X												
T29	BKTF	Broker Transfer																				
T30	BMIN	Below Minimum Account Size																				
T31	BNK	FC/Team Not Known									X											
T32	CA	Change of Account Information									X											
T33	CAP	Capital Markets Assurance Corporation																				
T34	CAT	Category Restriction												X								
T35	CC	Custodian Change																				
T36	CCG	Change in Client's Goals																				
T37	CF	Contribution Fee		X																		
T38	CIFG	CDC IXIS Financial Guaranty																				
T39	CL	Cash Limit											X									

Field ID	Field Entry	Field Description	Account Type	Fee Adjustment	Fees	Billing Method	Calculation in Method	Funding	Termination Instruction	Termination Reason	Notification	Reason Type	Rejection	Restriction	Bonding Agencies in Table	Securities in Violation	Tax Trading	Tax Trade Objective	Tax Trade Proceeds	Voluntary Corp Action	Voluntary Corp Action Type	Security Identifier Type
T40	CLIC	Connie Lee Insurance Company													X							
T41	CLP	Client Left Program							X													
T42	GNTY	Country of Issuer												X								
T43	CO	Contribution						X														
T44	COF	Conference Fees		X																		
T45	COR	Corporation	X																			
T46	CFU	Client Profile Update						X														
T47	CSEC	Cash and Securities						X														
T48	CSHO	Cash Only						X														X
T49	CTA	Cash Tender Accept						X														X
T50	CTR	Cash Tender Reject																				X
T51	D	Distribution						X				X										X
T52	DIV	Dividend																				
T53	DBL	Duplicate trades in the account										X										
T54	DC	Debit								X												
T55	DOC	Death of a Client																				
T56	DR	Distribution Fee Refund			X																	
T57	DUP	Duplicate Message											X									
T58	DUR	Duration												X								
T59	DVP	Delivery vs. Payment										X										
T60	ENI	Endowment - incorporated	X																			
T61	ENU	Endowment - Unincorporated	X																			
T62	ERES	Excessive Restrictions											X									
T63	EST	Estate	X																			
T64	EXE	Purchases/Sales not executed										X										
T65	FD	Fee Detailed								X												
T66	FEE	Fees								X												
T67	FGIC	Financial Guaranty Insurance Company													X							
T68	FS	Fee Summary									X											
T69	FSA	Financial Security Assurance, Inc.													X							
T70	AGAIN	All Gains (long and short)															X					
T71	GIIR	Global insufficient info reject - if data required to make an investment is insufficient and/or inaccurate											X									
T72	GL	Gain / Loss												X								
T73	GSTSR	Global send to sponsor reject - Should be directed to sponsor											X									
T74	GTLR	Global too late reject - received file too late											X									
T75	HT	Halt Trading									X											
T76	HTNR	Halt Trading Request Never Received											X									
T77	IA	Investment Manager Fees			X																	
T78	IACR	Individual account closed reject - Account closed																				
T79	IAHR	Individual account on hold reject - Account on hold												X								

Field ID	Field Entry	Field Description	Account Type	Fee Adjustment	Fees	Billing Method	Calculation Method	Funding	Termination Instruction	Termination Reason	Notification	Reason Type	Rejection	Restriction	Bonding Agencies Table	Securities in Violation	Tax Trading	Tax Trade Objective	Tax Trade Proceeds	Voluntary Corp Action	Voluntary Corp Action Type	Security Identifier Type
T80	IIR	Individual insufficient info reject - if data required to make an investment is insufficient and/or inaccurate																				
T81	IMC	Investment Manager Change		X						X			X									
T82	IN	Inception Fee		X																		
T83	INCOM	Incomplete / Inconsistent Individual											X									
T84	IND	Inconsistent Individual	X										X									
T85	INDR	Industry											X									
T86	INF	Missing Information										X										
T87	INSR	Insurer											X									
T88	INT	Interest												X								
T89	INTR	Interest Rate												X								
T90	INV	Invalid Information											X									
T91	IPS	Investment Policy											X									
T92	IRA	IRA	X																			
T93	IRO	Individual Reject Other - for other reasons - to be noted in comments																				
T94	IRR	IRA Rollover	X										X									
T95	ISEC	Individual Security												X								
T96	ISRA	Issues Regarding Assets in Account										X										
T97	ISRR	Issues Regarding Account Restrictions										X										
T98	ISSR	Issuer												X								
T99	ITR	Irrevocable Trust	X																			
T100	JED	Sold a position that had been JE'd out of the account										X										
T101	JNT	Joint	X																			
T102	KEO	Keogh	X																			
T103	LGL	Legal Issues Regarding the Account										X										
T104	LLC	Limited Liability Corporation	X																			
T105	ALOSS	All Losses (long and short)															X					
T106	LPA	Limited Partnership	X																			
T107	LTG	Long Term Gains only															X					
T108	LTL	Long Term Losses only															X					
T109	LTR	Living Trust	X																			
T110	MAT	Maturity												X								
T111	MBIA	Municipal Bond Incisors Assurance Corporation													X							
T112	MCOA	More Control Over Assets								X												
T113	MGN	Margin account										X										
T114	MISC	Miscellaneous								X												
T115	MKTA	Market Activity								X												
T116	MLQ	ML Liquidation							X													
T117	MO	Monthly Fee (Existing accounts)																				
T118	MPL	MP Liquidation							X													
T119	MRD	Missing Required Data											X									
T120	MUN	Municipalities	X																			
T121	NAF	New Account Funding																				
T122	NAP	New Account Profile																				

Field ID	Field Entry	Field Description	Account Type	Fee Adjustment	Billing Method	Calculation Method	Funding	Termination Instruction	Termination Reason	Notification	Reason Type	Rejection	Restriction	Bonding Agencies Table	Securities in Violation	Tax Trading	Tax Trade Objective	Tax Trade Proceeds	Voluntary Corp Action	Voluntary Corp Action Type	Security Identifier Type
T123	NKA	Not a known account to the IM									X	X									
T124	NRA	Non-Resident Alien Account does not have sufficient gains	X																		
T125	NSG	Not Suitable For Investment Strategy									X	X									
T126	NSIS	Account does not have sufficient losses									X	X									
T127	NSL	No trades meeting per trade minimum									X	X									
T128	NTM	Account number change; Bulk Transfer									X	X									
T129	NUM	Odd Lot Buy Accept																		X	
T130	OBA	Odd Lot Buy Reject																		X	
T131	OBR	Optional Dividend Offer																		X	
T132	ODA	Optional Dividend Offer																		X	
T133	ODO	Optional Dividend Offer																		X	
T134	ODR	Reject																			
T135	OFF	Offshore	X																	X	
T136	OLO	Odd Lot Offer																			
T137	OSA	Odd Lot Sell Accept																			
T138	OSR	Odd Lot Sell Reject																			
T139	OTH	Other	X						X		X	X									
T140	OVP	Account over-invested									X	X									
T141	OVS	Over-sold in the account									X	X									
T142	PAR	Partnership	X																		
T143	PEP	Pension Plan	X																		
T144	PER	Personal							X												
T145	PIN	Personal Investment	X																		
T146	PS	Portfolio System Fees		X																	
T147	PSP	Profit Sharing Plan	X																		
T148	PT	Possible Termination									X										
T149	PV	Proxy Voting								X											
T150	QU	Quarterly Fee (Existing accounts)		X																	
T151	QUAL	Quality											X								
T152	RAAI	Radian Insurance												X							
T153	RBC	Requested by Client									X										
T154	RC	Restriction Change							X												
T155	REAL	Asset Reallocation									X										
T156	REG	Registration Changes									X										
T157	REG	Reorg processing									X										
T158	RRR	IRRA	X																		
T159	RT	Resume Trading								X											
T160	RTO	Restrictions too onerous										X									
T161	SECT	Sector											X								
T162	SECO	Securities Only					X														
T163	SEP	Self Employed Plan	X																		X
T164	SH	Short																			
T165	SLQ	Sponsor Liquidation						X													
T166	SNR	System Not Ready																			
T167	SPL	Sponsor Partial Liquidation						X													
T168	SPR	Sole Proprietorship	X																		
T169	SSS	Tax Trading of Specific Securities								X											
T170	STA	Security Tender Accept																			
T171	STC	Style Change							X												X
T172	STG	Short Term Gains only																			
T173	STL	Short Term Losses only														X					

Field ID	Field Entry	Field Description	Account Type	Fee Adjustment	Fees	Billing Method	Calculation Method	Funding	Termination Instruction	Termination Reason	Notification	Reason Type	Rejection	Restriction	Bonding Agencies Table	Securities in Violation	Tax Trading	Tax Trade Objective	Tax Trade Proceeds	Voluntary Corp Action	Voluntary Corp Type	Security Identifier Type	
T174	STR	Security Tender Reject																					
T175	TAX	Taxes Withheld										X	X									X	
T176	TC	Termination Charge			X																		
T177	TD	Trading Error Adjustment Debits		X																			
T178	TE	Trading Error Adjustment Credits		X																			
T179	TF	Tiered Fee Adjustments		X																			
T180	TK	Terminate & Keep							X														
T181	TNA	Trade Not Allowed on Account																					
T182	TNB	Trade Not Booked										X	X										
T183	TND	Tender Offers										X	X								X		
T184	TNE	Trade Not Executed										X	X										
T185	TR	Termination Refund			X																		
T186	TREX	Trading Exchange												X									
T187	TRU	Trust																					
T188	TT	Tax Trading									X												
T189	UGM	Uniform Gift to Minors Act		X									X										
T190	UNA	Unknown Account										X											
T191	UNC	Unknown Custodian										X											
T192	UNI	Unicorp. Organization/Association - Incorporated																					
T193	UNPF	Unsatisfactory Performance								X													
T194	UNS	Unknown Source Code										X											
T195	UNSF	Unknown Sponsor / Source										X											
T196	UNU	Unicorp. Organization/Association - Unincorporated		X																			
T197	VCA	Voluntary Corporate Action									X												
T198	VCAD	Voluntary Corporate Action Decision								X													
T199	WPO	Wrong Position Sold										X											
T200	WSR	Wash Sale Restrictions									X												
T201	XLCA	XL Capital Inc																					
T202	ACTA	Account Activity								X													
T203	CNM	Client Needed Money								X													
T204	DWP	Dissatisfied with Program								X													
T205	ETP	Estate/Tax Purposes								X													
T206	FCLF	FC/Team Left Firm								X													
T207	LC	Life Change (ex. Divorce)								X													
T208	NRG	No Reason Given								X													
T209	PC	Program Change								X													
T210	S	Service								X													
T211	TTLC	Title Change								X													
T212	GSCR	Global Send to Custodian Reject											X										
T213	TLNF	Tax Lot Not Found															X						
T214	OFEXP	Offer Expired											X										
T215	ADVA	Advanced																					
T216	ARRE	Arrears			X																		
T217	PERF	Performance Based			X																		
T218	PROR	ProRata			X																		
T220	MG	Maximize Gains																					
T221	ML	Maximize Losses																					
T222	GAIN	Gains																					

Field ID	Field Entry	Field Description	Account Type	Fee Adjustment	Fees	Billing Method	Calculation Method	Funding	Termination Instruction	Termination Reason	Notification	Reason Type	Rejection	Restriction	Bonding Agencies Table	Securities in Violation	Tax Trading	Tax Trade Objective	Tax Trade Proceeds	Voluntary Corp Action	Voluntary Corp Action Type	Security Identifier Type	
T223	LOSS	Losses																					
T224	CLOVR	Client Override																X					
T225	TICK	Ticker																					X
T226	CUS	Cusip																					X
T227	SED	SEDOL																					X
T228	ISIN	ISIN																					X
T229	QUIK	QUIK																					X
T230	ADB	Average Daily Balance					X																
T231	PDE	Period Ending					X																
T232	AMB	Average Monthly Balance					X																
T233	CSH	Cash																	X				
T234	MIDIS	Managers Discretion																	X				
T235	ETF	Exchange Traded Fund																	X				
T236	CWD	Client Withdrawal																	X				
T237	ISNA	Investment Strategy not available											X										